

PATENT

ATTORNEY DOCKET NO: 10502/002001

## COMBINED DECLARATION AND POWER OF ATTORNEY

below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled RIIO FAMILY ANTAGONISTS AND THEIR USE TO BLOCK INHIBITION OF NEURITE OUTGROWTH, the specification of which

☐ is attached hereto.

☒ was filed on 11/02/98 as Application Serial No. 09/184,572 and was amended on \_\_\_\_\_.

☐ was described and claimed in PCT International Application No. \_\_\_\_\_  
filed on \_\_\_\_\_ and as amended under PCT Article 19 on \_\_\_\_\_.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose all information I know to be material to patentability in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

COUNTRY	APPLICATION NO.	FILING DATE	PRIORITY CLAIMED
<u>Canada</u>	<u>2,214,841</u>	<u>October 31, 1997</u>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No

I hereby appoint the following attorneys and/or agents to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: William J. Hone, Reg. No. 26,739; Richard P. Ferrara, Reg. No. 30,632; Stuart Macphail, Reg. No. 44,217

Address all telephone calls to William J. Hone at telephone number 212/765-5070.

Address all correspondence to William J. Hone, Fish & Richardson P.C., 45 Rockefeller Plaza, New York, NY 10111.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patents issued thereon.

Full Name of Inventor: Lisa McKerracher

Inventor's Signature: [Signature] Date: April 14 1999

Residence Address: 5555 Notre Dame des Gras Avenue, Montreal, Quebec H4A 1L6 CANADA

Citizen of: Canada

Post Office Address: 5555 Notre Dame des Gras Avenue, Montreal, Quebec H4A 1L6 CANADA

SENT BY:

4-14-99 ; 12:57PM ;

514 343 5755;# 3/ 4

## COMBINED DECLARATION AND POWER OF ATTORNEY CONTINUED

Full Name of Inventor: Maxime Lehmann

Inventor's Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Residence Address: 23 Rue de la Ste. Victoire, 13006 Marseille, FRANCE

Citizen of: France

Post Office Address: La Poste, 26, place Castellan, 13006, Marseille, FRANCE

45115.N11

## COMBINED DECLARATION AND POWER OF ATTORNEY



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Full Name of Inventor: Lisa McKerracher

Inventor's Signature: \_\_\_\_\_ Date: \_\_\_\_\_

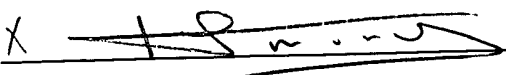
Residence Address: 5555 Notre Dame des Gras Avenue, Montreal, Quebec H4A 1L6 CANADA

Citizen of: Canada

Post Office Address: 5555 Notre Dame des Gras Avenue, Montreal, Quebec H4A 1L6 CANADA

**COMBINED DECLARATION AND POWER OF ATTORNEY CONTINUED**

Full Name of Inventor: Maxime Lehmann

Inventor's Signature: X  Date: X April 1<sup>st</sup> 1999

Residence Address: 23 Rue de la Ste. Victoire, 13006 Marseille, FRANCE

Citizen of: France

Post Office Address: La Poste, 26, place Castellanne, 13006, Marseille, FRANCE

45115.N11



Applicant or Patentee: McKerracher et al.  
Serial or Patent No.: 09/184,572  
Filed or Issued: 11/02/98  
For: RHO Family Antagonists and Their Use To Block Inhibition of Neurite Outgrowth

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) and 1.27(b)) - INDEPENDENT INVENTOR

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled "Rho Family Antagonists and Their Use to Block Inhibition of Neurite Outgrowth" described in

- ☐ the specification filed herewith.  
☒ application serial no. 09/184,572, filed 11/02/98.  
☐ patent no., issued.

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☒ no such person, concern, or organization.  
☐ persons, concerns or organizations listed below\*.

\*NOTE: Separate verified statements are required from each named person, concern, or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

Full Name: \_\_\_\_\_

Address: \_\_\_\_\_

☐ INDIVIDUAL    ☐ SMALL BUSINESS CONCERN    ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status when any new rule 53 application is filed or prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

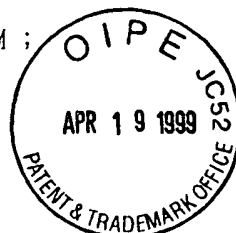
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Inventor: Lisa McKerracher

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Inventor: Maxime Lehmann

Signature: X [Signature] Date: X April 1<sup>st</sup>, 1999



ATTORNEY DOCKET NO. 10502/002001

Applicant or Patentee: McKerracher et al.  
Serial Patent No.: 09/184,572  
Filed or Issued: 11/02/98  
For:

RHO Family Antagonists and Their Use To Block Inhibition of Neurite Outgrowth

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Inventor: Lisa McKerracherSignature: Date: X April 14 1999Inventor: Maxime Lehmann

Signature: \_\_\_\_\_

Date: \_\_\_\_\_